

SCHEDULE OF CUSTODIAL FEES AND OTHER CHARGES

Type of Service	Rate/Fee Amount
Safekeeping	0.20% p.a.
Trade Settlement (investments placement and redemption)	KES 1,000 per transaction
Failed Trade	KES 500.00 per transaction
Transfer of assets to/from another CDA (Custodian/broker)	KES 200.00
Private Transfer - Succession	KES 1,500.00
Private Transfer - Gifting	1.50 per cent of market value
Income collection & corporate action processing	FREE

Additional Information

- a) Our professional fees are levied plus government taxes as applicable.
- b) Our safekeeping fee is based on the value of the total investment portfolio, calculated per annum and recoverable monthly (i.e. 1/12). The transaction fees are only levied on investing transactions, excluding contributions and clean money transfers as may be instructed by the client from time to time.
- c) Our minimum annual safekeeping fee is **KES 12,000.00** per annum and **KES 36,000.00** per annum for individuals and corporate accounts, respectively.
- d) Our billing frequency is monthly.